

COMMONWEALTH OF THE NORTHERN MARIANA ISLANDS

Juan N. BabautaGovernor

Diego T. Benavente Lieutenant Governor

OCT 3 1 2005

The Honorable Joaquin G. Adriano Senate President, The Senate Fourteenth Northern Marianas Commonwealth Legislature Saipan, MP 96950

The Honorable Benigno R. Fitial Speaker, House of Representatives Fourteenth Northern Marianas Commonwealth Legislature Saipan, MP 96950

Dear Mr. President and Mr. Speaker:

This is to inform you that I have signed into law House Bill No.14-289, HS1, SD1, entitled, "To amend the professional licensing requirements by repealing and reenacting Chapters 1 and 2 of Division 3, Title 4 of the Commonwealth Code; and for other purposes," which was passed by the Fourteenth Northern Marinas Commonwealth Legislature.

House Bill No.14-289, HS1, SD1 amends existing professional licensing requirements to meet applicable federal standards and limits the responsibility of the Board of Professional Licensing to the practice of architecture, engineering, land survey, landscape architecture, and real property appraisal, in order to better protecting lives, health and property of the people of the Commonwealth. The members of the Board of Professional Licensing and their staff have worked closely with the Legislature to pass this measure. The Administration notes, however, that this measure does not apply retroactively and will not affect those individuals who have current, valid licenses under existing rules and regulations.

This bill becomes Public Law No. 14-95. Copies bearing my signature are forwarded for your reference.

Sincerely,

Attorney General, Office of the Attorney General

Executive Director, Board of Professional Licensing

Secretary, Department of Finance

Secretary, Department of Public Works

Secretary, Department of Commerce

Director of Personnel, Office of Personnel Management

Executive Director, Civil Service Commission

Acting Special Assistant for Programs and Legislative Review



The House of Representatives

NORTHERN MARIANAS COMMONWEALTH LEGISLATURE P.O. BOX 500586 SAIPAN, MP 96950

September 21, 2005

PUBLIC LAW NO. 14-95

The Honorable Juan N. Babauta Governor Commonwealth of the Northern Mariana Islands Capitol Hill Saipan, MP 96950

Dear Governor Babauta:

I have the honor of transmitting herewith H. B. No. 14-289, HS1, SD1, entitled, "To amend the professional licensing requirements by repealing and reenacting Chapters 1 and 2 of Division 3, Title 4 of the Commonwealth Code; and for other purposes." The Bill was passed by the House of Representatives and the Senate of the Fourteenth Northern Marianas Commonwealth Legislature.

Sincerely yours,

Evelyn C. Fleming House Clerk

Attachment



HOUSE OF REPRESENTATIVES

FOURTEENTH NORTHERN MARIANAS COMMONWEALTH LEGISLATURE

THIRD DAY, FOURTH REGULAR SESSION, 2005

PUBLIC LAW NO. 14-95 H. B. No. 14-289, HS1, SD1

AN ACT

To amend the professional licensing requirements by repealing and reenacting Chapters 1 and 2 of Division 3, Title 4 of the Commonwealth Code; and for other purposes.

Introduced by Representative: David M. Apatang

Date: February 11, 2005

Referred to: Committee on Judiciary and Governmental Operations

Public Hearing: None

Standing Committee Report: None

Passed First and Final Reading on August 25, 2005 Accepted Senate Amendments on September 9, 2005

Referred to: None Public Hearing: None

Standing Committee Report: None

Passed Final Reading on September 7, 2005

Evelyn C. Fleming, House Clerk



HOUSE OF REPRESENTATIVES

FOURTEENTH NORTHERN MARIANAS COMMONWEALTH LEGISLATURE
THIRD REGULAR SESSION, 2005

PUBLIC LAW NO. 14-95 H. B. No. 14-289, HS1, SD1

AN ACT

To amend the professional licensing requirements by repealing and reenacting Chapters 1 and 2 of Division 3, Title 4 of the Commonwealth Code; and for other purposes.

BE IT ENACTED BY THE FOURTEENTH NORTHERN MARIANAS COMMONWEALTH LEGISLATURE:

Section 1. Short Title. This Act may be cited as the "Board of Professional Licensing Amendments Act of 2005".

Section 2. <u>Findings</u>. The Legislature finds that the Board of Professional Licensing has examined the licensing requirements set forth in 4 CMC 3101 *et seq*. and in order to further safeguard the life, health, and property of the people of the Commonwealth of the Northern Mariana Islands, recommends the following amendments:

Section 3. Repealer and Reenactment. Chapters 1 and 2 of Division 3, Title 4 of the Commonwealth Code are hereby repealed in their entirety and re-enacted as follows:

"Chapter 1.

Professional Licensing.

§ 3101. General Provisions.

In order to safeguard life, health, and property, and to promote the public welfare, the practice of architecture, engineering, land surveying, landscape architecture, and real property appraisal in the Commonwealth it is hereby declared to be subject to regulation in the public interest. It shall be unlawful for any person or firm to practice, or offer to practice, architecture, engineering, land surveying, landscape architecture, or real property appraisal in the Commonwealth as defined in the provisions of this Act, or to use in connection with his/her or their name or

1 2

3

456

7

8 9

10 11

1213

14

1516

17

18

otherwise assume, or advertise any title or description intending to convey the impression that he/she or they are an architect, engineer, land surveyor, landscape architect, or real property appraiser under the provisions of this Act unless such person or firm has a valid license or certificate issued by the Board of Professional Licensing or is exempted under the provisions of this Act. The practice of architecture, engineering, land surveying, landscape architecture, or real property appraisal shall be deemed a privilege granted by the Commonwealth of the Northern Mariana Islands through the Board of Professional Licensing, based on the qualifications of the individual or firm as evidenced by his/her or their license or certificate, which shall not be transferable.

§ 3102. Board of Professional Licensing Appointment: Qualifications.

There is in the Commonwealth Government a Board of Professional Licensing, a regulatory board given the power by law to license and regulate architects, engineers, land surveyors, landscape architects, and real property appraisers and whose duty shall be to administer the provisions of this Act, composed of five members appointed by the Governor with the advice and consent of the Senate. One member shall be from Tinian, one member shall be from Rota and three members shall be from Saipan. The reduction in membership shall take effect through attrition. Of the five members, at least one member shall be a woman and three members shall be from the professional fields as set forth in this Act. Each member shall have been a resident of the Commonwealth for at least three years. A member practicing the profession shall have been engaged in the practice of the member's appointment and is also licensed to practice the profession in the Commonwealth. The public member(s) of the Board shall not be or have been an engineer, architect, land surveyor, landscape architect or real property appraiser.

§ 3103. Board of Professional Licensing Tenure; Officers; Vacancies.

The members of the Board shall serve for six years. No member may serve more than two (2) consecutive six-year terms. The officers of the Board shall be

PUBLIC LAW NO. 14-95 HOUSE BILL NO. 14-289, HS1, SD1

elected every two years. The Board shall elect, by the majority vote of a quorum of its members, a Chairperson, a Vice-chairperson, and a Secretary. In the event of a vacancy on the Board for reason resulting in an unexpired term and the governor has failed to appoint a successor within three (3) months after the vacancy occurs, the Board may appoint a provisional member to serve in the interim until the governor makes an appointment.

§ 3104. Board of Professional Licensing Quorum; Voting.

Three members are necessary to make a quorum of the Board and a concurrence of a majority of the quorum shall be necessary to constitute approval of those matters needing approval of the Board.

§ 3105. Board of Professional Licensing Compensation.

Members of the Board shall serve without pay but shall be reimbursed for reasonable and necessary expenses incurred in the performance of their duties.

§ 3106. Board of Professional Licensing Powers.

The Board shall have the power, jurisdiction and authority to:

- (a) adopt and amend all bylaws, regulations, rules of procedures not inconsistent with the constitution and laws of the Commonwealth or this Act, including the adoption and promulgation of the Rules of Professional Conduct for architects, engineers, land surveyors, and landscape architects, which shall be binding upon persons licensed under this Act and which shall be applicable to partnerships and corporations holding a Certificate of Authorization, which is necessary for the proper performance of its duties and the regulation of its procedures, meetings, records, examinations and the conduct thereof;
 - (b) enforce the law, rules and regulations adopted pursuant thereto;
- (c) adopt and have an official seal, which shall be affixed to each license or certificate issued;
- (d) apply for relief by injunction in the Superior Court, without bond, to enforce the provisions of this Act, or to restrain any violation thereof. In such proceedings, it shall not be necessary to allege or prove, either that an adequate

remedy at law does not exist, or that substantial or irreparable damage would result from the continued violation thereof. The members of the Board shall not be personally liable under these proceedings;

- (e) determine those professional organizations with whom it will affiliate for approving applications. In establishing credentialing criteria or administering examinations, the Board may enter into contracts for the purchase or other acquisition, using scoring or validation of professional tests. In lieu of an examination, the Board may accept the license or certification issued by an agency of the United States, or of a state or political subdivision of a state, or of a territory or possession of the United States;
- (f) contract with private entities for the performance of such duties, functions, and powers as the Board considers appropriate;
- (g) subject an applicant for licensure or certification to such examinations as it deems necessary to determine their qualifications;
- (h) require a demonstration of continuing competency of architects, engineers, land surveyors, landscape architects, or real property appraisers as a condition of renewal of license; and
- (i) do all other things necessary to carry out the provisions of this Act, the rules and regulations and to meet the requirements of federal law where necessary regarding licensing or certification of architects, engineers, land surveyors, landscape architects, and real property appraisers that the Board determines are appropriate for these professions in the CNMI.
- § 3107. Board of Professional Licensing; Jurisdiction.

Notwithstanding any other provision of this Chapter, the Board's power, authority, and jurisdiction shall extend to persons engaging in the professions regulated by the Board, including but not limited to non-licensees and persons offering their own services or the services of their employees or agents.

- § 3108. Board of Professional Licensing; Liability.

No member of the Board and no member of the Board's staff shall be held liable for action or inaction attributable to the lawful exercise of their responsibilities under this Chapter.

§ 3109. Board of Professional Licensing Exceptions to License Requirements.

Notwithstanding Section 3101, the hiring of unlicensed apprentices, trainees or helpers is not prohibited so long as they practice under the general supervision of a licensee which licensee is ultimately responsible for the work product of such apprentice, trainee or helper.

§ 3110. Board of Professional Licensing Staff.

The Board may, subject to budgetary appropriation, employ staff as required to assist it in performing its duties. The executive director shall be exempt from civil service and shall receive an annual salary as determined by the Board pursuant to 1 CMC § 8246.

Chapter 2.

Professional Licensing.

- § 3211. Definitions. As used in this Chapter:
 - (a) Architects.
- (1) "Architect" means a person who has been duly licensed by the Board to engage in the practice of architecture, as hereinafter defined.
 - (A) "Practice of Architecture" means consisting of providing or offering to provide certain services, hereinafter described, in connection with the design and construction, enlargement or alteration of a building or group of buildings and the space within and the site surrounding such buildings, of which the principal purpose is for human occupancy or habitation; the service referred to include pre-design services, programming, planning, providing designs, drawings, specifications and other technical submissions, the administration of construction contracts, and the coordination of any elements of technical submissions prepared by others including, as appropriate and

without limitation, consulting engineers and landscape architects; provided that the practice of architecture shall not include the practice of engineering as defined in this Chapter.

- (B) A person is considered to practice or offer to practice architecture, within the meaning and intent of this Chapter, who practices the profession of architecture or who, by verbal claim, sign, advertisement, letterhead, card or in any other way represents themselves to be an architect through the use of some other title, implies that they are licensed under this Chapter or holds themselves out as able to perform or who does perform any architectural service or work or any other service designated by the practitioner which is recognized as architecture.
- (b) "Board" means the Board of Professional Licensing, as established by 4 CMC § 3102.
- (c) "Commonwealth" means the Commonwealth of the Northern Mariana Islands, and its public corporations.

(d) Engineer.

- (1) "Engineer" means a person who has been duly licensed by the Board to engage in the practice of engineering, including any of the branches thereof, as hereinafter defined.
- (A) "Practice of Engineering" means any service or creative work, the adequate performance of which requires engineering education, training, and experience in the application of special knowledge of the mathematical, physical and engineering sciences to such services or creative work as consultation, investigation, expert technical testimony, evaluation, planning, design and design coordination of engineering works and systems, planning the use of land, air, and water, teaching of advanced engineering subjects, performing engineering surveys and studies, and the review and/or management of construction for the purpose of monitoring and/or ensuring compliance with drawings and specifications; any of which embraces such

services or work; either public or private, in connection with any utilities, structures, buildings, machines, equipment, processes, work systems, projects, communication systems, transportation systems, and industrial or consumer products, or equipment of a control systems, communications, mechanical, electrical, hydraulic, pneumatic, chemical, environmental, or thermal nature, insofar as they involve safeguarding life, health or property, and including such other professional services as may be necessary to the planning, progress and completion of any engineering services.

Design coordination includes the review and coordination of those technical submissions prepared by others, including as appropriate and without limitation, consulting engineers, architects, landscape architects, surveyors, and other professionals working under the direction of the engineer.

Engineering surveys include all survey activities required to support the sound conception, planning, design, construction, maintenance, and operation of engineered projects, but exclude the surveying of real property for the establishment of land boundaries, rights-of-way, easements, and the dependent or independent surveys or re-surveys of the public land survey system.

(B) A person is considered to practice or offer to practice engineering, within the meaning and intent of this Chapter, who practices any branch of the profession of engineering or who, by verbal claim, sign, advertisement, letterhead, card or in any other way represents themselves to be an engineer or through the use of some other title, implies that they are licensed under this Chapter or holds themselves out as able to perform or who does perform any engineering service or work or any other service designated by the practitioner which is recognized as engineering.

28

(e) Landscape Architect.

- (1) "Landscape Architect" means a person who has been duly licensed by the Board to engage in the practice of landscape architecture, as hereinafter defined.
- (A) Practices of "landscape architecture" means one who holds himself or herself out as able to perform professional services such as consultation, investigation, reconnaissance, research, design, preparation of drawings and specifications and responsible supervision where the dominant purpose of such services is the preservation and enhancement of land uses and natural land feature; the location and construction of aesthetically pleasing and functional approaches of structures, roadways, and walkways; and designed for equestrian trails, plantings, landscape irrigation, landscape lighting, and landscape grading. This practice shall include the location, arrangements, and design of such tangible objects and features as are incidental and necessary to the purpose outlined herein. Nothing herein shall preclude a duly licensed landscape architect from planning the development of land areas and elements used thereon or from performing any of the services described in this section in connection with the settings, approaches, or environment for buildings, structures or facilities. This Chapter shall not empower a landscape architect licensed under this Chapter, to practice, or offer to practice, architecture or engineering in any of its various recognized branches.
- (B) A person is considered to practice or offer to practice landscape architecture, within the meaning and intent of this Chapter, who practices the profession of landscape architecture or who, by verbal claim, sign, advertisement, letterhead, card or in any other way represents themselves to be a landscape architect or through the use of some other title, implies that they are licensed under this Chapter or holds themselves out as able to perform or who does perform any landscape architecture service or work or any other

service designed by the practitioner which is recognized as landscape architecture.

(f) Land Surveyor.

- (1) "Land Surveyor" means a person who has been duly licensed by the Board to engage in the practice of land surveyor, or as hereinafter defined.
- (A) "Practice of Land Surveying" shall mean providing, or offering to provide professional services using such sciences as mathematics, geodesy, and photogrammetry, and involving both (1) the making of geometric measurements and gathering related information pertaining to the physical or legal features of the earth, improvements on the earth, the space above, on, or below the earth, (2) providing, utilizing, or developing the same into survey products such as graphics, data, maps, plans, reports, descriptions, or projects. Professional services include acts of consultation, investigation, testimony evaluation, expert technical testimony, planning, mapping, assembling, and interpreting gathered measurements and information related to any one or more of the following:
- (i) Determining by measurement the configuration or contour of the earth's surface or the position of fixed objects thereon.
- (ii) Determining by performing geodetic surveys the size and shape of the earth or the position of any point on the earth.
- (iii) Locating, relocating, establishing, reestablishing, or retracing property lines or boundaries of any tract of land, road, right of way, or easement.
- (iv) Making any survey for the division, subdivision, or consolidation of any tract(s) of land.
- (v) Locating or laying out alignments, positions, or elevations for the construction of fixed works.

(vi) Determining, by the use of principles of surveying, the position for any survey monument (boundary or non-boundary) or reference point; establishing or replacing any such monument or reference point.

- (vii) Creating, preparing, or modifying electronic or computerized or other data, relative to the performance of the activities in the above described items (i) to (vi).
- (B) Any person shall be construed to practice or offer to practice surveying with the meaning and intent of this Act, who engages in surveying or who by verbal claim, sign, letterhead, card, advertisement or in any other way represents themselves to be a surveyor, through the use of some other title implies that they are able to perform, or who does perform any surveying service or work or any other service designated by the practitioner which is recognized as surveying.

(g) Real Property Appraiser.

- (1) "Real Property Appraiser" means a person who has been duly licensed or certified by the Board to engage in the practice of Real Property Appraiser as hereinafter defined.
- (A) Practice of "real property appraisal" means a profession which engages in real property appraisal activity for federally or non-federally related transactions, for a fee or other valuable consideration, by preparing independent and impartial written or oral statement setting forth an opinion as to the market value of an adequately described property as of a specified date(s), supported by the presentation and analysis of relevant market information prepared in conformity with the Uniform Standards of Professional Appraisal Practice (USPAP), as amended and published by the U.S. Appraisal Foundation.
- (B) A person is considered to practice or offer to practice real property appraisal, within the meaning and intent of this Chapter, who practice the profession of real property appraisal or who, by verbal claim, sign,

9

10 11

12 13

15 16

14

17

19 20

18

21 22

23

24 25

26

27 28

29

advertisement, letterhead, card or in any other way represents themselves to be a real property appraiser or through the use of some other title, implies that they are a real property appraiser, or that they are licensed or certified under this Chapter or holds themselves out as able to perform or who does perform any real property appraisal service work, or any other service designated by the practitioner which is recognized as real property appraisal.

§ 3212. Licensure of Professionals.

In order to safeguard life, health and property, no person except those exempted by Section 3213 of this Chapter, shall practice or offer to practice professional engineering, architecture, land surveying, landscape architecture or real property appraisal in the Commonwealth unless such person is duly licensed or certified under this Chapter by the Board.

§ 3213. Persons Exempt from Licensure.

The following persons are exempted from this Chapter:

- (a) Persons practicing professional engineering, architecture, land surveying, landscape architecture or real property appraisal solely as officers or employees of the United States government.
- (b) Persons practicing professional engineering, architecture, land surveying, landscape architecture or real property appraisal solely as officers or employees of the Commonwealth government during the terms of office or employment of such persons.

§ 3214. Temporary Licenses.

(a) The Board may grant a temporary license to a person who is not a resident of the Commonwealth or who has no established place of business in the Commonwealth and who desires to practice on a limited basis architecture, engineering, land surveying, landscape architecture or real property appraisal in the Commonwealth provided such person is legally qualified and licensed or certified in another jurisdiction and that his or her qualifications for obtaining the license meet those required for licensure or certification by this Board.

10

11 12

14 15

13

16 17

18

19 20

21 22

23 24

25 26

27

28 29

- (b) A temporary license shall be granted for each specific job which length of time not to exceed one (1) year and shall provide that there is no right to practice architecture, engineering, land surveying, landscape architecture or real property appraisal with respect to any other works not set forth in the temporary license.
- (c) A temporary license may be extended but only for the purpose of completing the specific job for which the original temporary license was issued.
- (d) The fee for the temporary license issued by the Board shall be fixed by the Board by regulation.
- (e) The applicant for a temporary license to practice civil engineering must have passed the California Special Seismic Exam.
- § 3215. Qualification for Licensure.
- (a) No person shall be eligible for licensure or certification as a engineer, architect, land surveyor, landscape architect, or real property appraiser unless such person has met the education, examination and experience qualifications as required by this Chapter to practice engineering, architecture, land surveying, landscape architecture, or real property appraisal in the Commonwealth.
- (b) The following shall be considered as minimum evidence satisfactory to the Board that the applicant is qualified for licensure:
 - (1) Engineers.
 - (A) Such person holds a master's degree in engineering from an institution of higher education approved by the Board, and has completed two (2) years of progressive engineering experience satisfactory to the Board, at least one (1) year of which shall have been under the supervision of a licensed engineer in the same branch of engineering for which licensure is desired; and has also successfully passed the examinations prescribed by the Board; or
 - (B) Such person is a graduate of an engineering curriculum of four (4) years or more in a college approved by the Board, and has completed four (4) years of progressive engineering experience satisfactory to the Board, at least one (1) year of which shall have been under the supervision of a licensed

engineer in the same branch of engineering for which licensure is desired; and has also successfully passed the examinations prescribed by the Board; or

- (C) Such person is a graduate of an engineering curriculum of four (4) years or more in a college other than the ones approved by the Board, and has completed eight (8) years of progressive engineering experience satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed engineer in the same branch of engineering for which licensure is desired; and has also successfully passed the examinations prescribed by the Board; or
- (D) Such person has twelve years of progressive engineering experience satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed engineer in the same branch of engineering for which licensure is desired; and has also successfully passed the examinations prescribed by the Board.
 - (2) Architects.
- (A) Such person holds a master's degree in architecture from an institution of higher education approved by the Board, and has at least one (1) year of progressive experience in architectural work satisfactory to the Board, which shall have been under the supervision of a licensed architect; and has also successfully passed the examinations prescribed by the Board.
- (B) Such person is a graduate of an architectural curriculum of five (5) years or more in a college approved by the Board, and has completed two (2) years of progressive experience in architectural work satisfactory to the Board, at least one (1) year of which shall have been under the supervision of a licensed architect; and has also successfully passed the examinations prescribed by the Board; or
- (C) Such person is a graduate of an architectural curriculum of four (4) years or more in a college other than the ones approved by the Board, and has completed eight (8) years of progressive experience in architectural work

HOUSE BILL NO. 14-289, HS1, SD1

satisfactory to the Board, at least two (2 years of which shall have been under the supervision of a licensed architect; and has also successfully passed the examinations prescribed by the Board; or

- (D) Such person has twelve years of progressive experience in architectural work satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed architect; and has also successfully passed the examinations prescribed by the Board.
 - (3) Land Surveyors.
- (A) Such person is a graduate of a college approved by the Board and has completed a general engineering curriculum of four (4) years of more, with emphasis in land surveying, and has completed four (4) years of progressive experience in land surveying work satisfactory to the Board, at least one (1) year of which shall have been under the supervision of a licensed land surveyor; and has also successfully passed the examinations prescribed by the Board; or
- (B) Such person is a graduate of a college other than the ones approved by the Board, and has completed a civil engineering or surveying curriculum of two years or more, has completed eight (8) years of progressive experience in land surveying work satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed land surveyor; and has also successfully passed the examinations prescribed by the Board; or
- (C) Such person has twelve years of progressive experience in land surveying work satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed land surveyor; and has also successfully passed the examinations prescribed by the Board.
 - (4) Landscape Architects.
- (A) Such person is a graduate of a landscape architectural curriculum of four (4) years or more in a college approved by the Board, and has completed four (4) years of progressive experience in landscape architectural

work satisfactory to the Board, at least one (1) year of which shall have been under the supervision of a licensed landscape architect; and has also successfully passed the examinations prescribed by the Board; or

- (B) Such person is a graduate of a landscape architectural curriculum of four (4) years or more in a college other than the ones approved by the Board, and has completed eight (8) years of progressive experience in landscape architectural work satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed landscape architect; and has also successfully passed the examinations prescribed by the Board; or
- (C) Such person has twelve years of progressive experience in landscape architectural work satisfactory to the Board, at least two (2) years of which shall have been under the supervision of a licensed landscape architect; and has also successfully passed the examinations prescribed by the Board.
 - (5) Real Property Appraisers.
- (A) Such person must have completed courses in subjects related to real estate appraisal from a school or college approved by the Board, as required under the appropriate licensure or certification classification; successfully passed the appropriate Board approved national written examination(s) or its equivalent, and have also met the minimum number of hours of appraisal experience as required under the appropriate licensure or certification classification.
- (c) In addition to the foregoing requirements, the Board may, in its discretion, require additional proof that the applicant is competent to practice professionally, and whenever the Board is not fully satisfied from the results of an examination that an applicant is competent to practice professionally, it may give the applicant further examination(s) as the Board deems appropriate.
- (d) Except as provided in the Commonwealth Constitution, Schedule on Transitional Matters, all applicants for a license or certificate shall possess a reputation for honesty, trustworthiness, fairness, financial integrity and is of good

moral character and shall not have been convicted of a crime of moral turpitude or a crime related to their profession in the Commonwealth or any other jurisdiction.

- (e) The Board may by regulation provide for the licensure of architectural intern, engineer intern and land surveyor intern. The architectural intern, engineer intern or surveyor intern license does not authorize the holder to practice as a professional architect, engineer or professional surveyor.
- § 3216. Application for Licensure, Certification or Renewal.
- (a) Application for licensure or certification shall be made upon application forms to be furnished by the Board and shall be signed and sworn to under penalty of perjury by the applicant. With each application there shall be paid to the Board an application fee which the Board shall fix by regulations. The application or renewal fee is non-refundable after the application has been received by the Board.
- (b) The Board may accept the certified information contained in a valid council record issued by the National Council of Examiners for Engineering and Surveying for engineering or land surveying applicants or a valid council record issued by the National Council of Architectural Registration Boards for architect applicants in lieu of the same information that is required on the form prescribed and furnished by the Board.
- (c) For each examination or repetition thereof in whole or in parts as shall be limited or permitted by the rules and regulations of the Board, the applicant shall pay to the Board an examination fee, in such amount as the Board shall fix by regulation. The fee paid shall not be refundable. However, if an applicant after having paid the fee is unable for any reason beyond his or her control to participate in the examination, the Board may extend the time of the applicant's participation to the next regular examination date and credit to the applicant's participation the amount of the fee paid.
- (d) Upon qualifying for licensure or certification, the applicant shall pay a licensing fee, in such amount as the Board shall fix by regulation. Upon receipt thereof by the Board, the applicant shall thereupon be licensed or certified as a

engineer, architect, land surveyor, landscape architect or real property appraiser, and shall receive a license from the Board signed by the Board chairman and secretary.

- (e) The Board shall keep a record of its proceedings and of all applications for licensure or certification, which record shall show (1) the name, age, and last known address of each applicant, (2) the date of application, (3) the place of business of such applicant, (4) education, experience, and other qualifications, (5) type of examination required, (6) whether or not the application was rejected, (7) whether or not a license or certificate was granted, (8) the date of the action of the board, and (9) such other information as may be deemed necessary for the board. The record of the board shall be prima facie evidence of the proceedings of the board, and a transcript thereof, duly certified by the secretary under seal, shall be admissible as evidence with the same force and effect as if the original were produced.
- (f) Every person licensed or certified who, as an individual or as a member of a firm occupies an office or other place of business for the practice of his/her or their profession shall display his/her or their original certificate or license in a conspicuous manner in his/her or their principal office or place of business.
- (g) Every certificate or license expires two years following its issuance or renewal and becomes invalid after that date unless renewed on a timely basis prior to such expiration. The Secretary of the Board or designee shall mail, at least one month in advance of the expiration of the certificate or license, a notice to every person or firm licensed or certified hereunder giving the date of expiration and the fee and any additional requirement for the renewal thereof. The Board shall by regulation fix the fee for renewal of a certificate or license. Certificates or licenses which have expired for failure to renew on or before the date herein above required may be reinstated within one year of the expiration date upon payment of the renewal fee fixed by the Board, plus a penalty, in such amount as the Board shall fix by regulation, for each calendar month or fraction thereof until the renewal fee is paid. Each licensee or firm whose license or certificate has expired and lapsed for more than one year by failure

to renew must file a new application, meet present day requirements for licensure or certification, and receive board approval.

- (h) A person must file as a new applicant if:
 - (1) He/she was a licensure or firm, and
- (A) His/her/its license or certificate has expired and has not been renewed for one year; or
- (B) His/her/its license or certificate has been revoked by the Board or any jurisdiction.
- (i) Board records and papers of the following class are of a confidential nature and are not public records: examination material for examinations not yet given, file records of examination problem solutions, letters of inquiry and reference concerning applicants, board inquiry forms concerning applicants, investigation files where any investigation is still pending, and all other materials of like confidential nature.

§ 3217. Licenses, Certificates, Seals.

- (a) Each certificate or license issued hereunder shall bear the date of the original licensure and shall specify whether the individual or firm to whom it is issued is authorized by the Board to practice engineering, architecture, land surveying, landscape architecture or real property appraisal.
- (b) In the case of a certificate or license issued to the individual or firm authorizing such individual or firm to practice engineering, the certificate or license shall also indicate the branch of engineering which the individual or firm is qualified to practice.
- (c) Each licensed individual may use a seal or rubber stamp of a design authorized by the Board bearing such person's name and the words "professional engineer," "architect," "land surveyor," or "landscape architect," or otherwise as may be authorized by the Board. In the case of an engineer, the seal or rubber stamp shall also indicate the branch of engineering they are licensed to practice.

.23

- (d) The seal, signature and date shall be placed on all final specifications, drawings, plans, design formation, calculations, reports, land surveys, and plats whenever presented to a client or when filed with public officials. The seal, signature and date of the licensee shall be prima facie evidence that the work was prepared by or under the direct supervision or control of the licensee named on the seal and is thereby certifying that he or she is competent in the subject matter and accepts full responsibility and liability for the professional work represented. Licensees shall stamp seal, sign and date only those documents which are safe for public health, property and welfare in conformity with accepted engineering, architectural, land surveying, and landscape architectural standards. The licensee shall sign and seal only work within the licensee's area(s) of competence. It shall be unlawful for a licensee to affix or permit their seal and signature to be affixed to any document described above after the expiration or revocation of a licensee or for the purpose of aiding or abetting any other person to evade or attempt to evade any provisions of this Act.
- (e) No official of the Commonwealth who is or may hereafter be charged with the enforcement of laws or ordinances related to the construction or alteration of buildings or structures, shall accept or approve any final specifications, drawings, plans, design formation, calculations, and reports that are not sealed, signed, and dated with the seal of a licensed architect or with the seal of a licensed civil or structural engineer holding a valid license, unless the building or structure, for which the plans or specifications are submitted, is exempted from this Chapter.
- (f) It shall be unlawful for the Commonwealth Recorder Office and the Office of the Division of Registration and Survey to file or record any map, plat, survey, or other documents within the definition of land surveying as set forth in this Act which do not have impressed thereon and affixed thereto the personal signature and seal of a licensed land surveyor by whom or under whose direct supervision the map, plat, survey, or other documents were prepared.
- (g) The Business License Office of the CNMI Division of Revenue and Taxation shall not issue any business license to any individual or firm which includes

such words, as set forth in Section 3218(a)(7), or modifications or derivatives thereof in its individual or firm name or logotype except those individuals or firms holding a valid license or certificate of authorization issued under the provisions of this section.

- § 3218. <u>Disciplinary Action: Reprimand, Suspension or Revocation; Refusal</u> to Issue, Restore or Renew; Place on Probation or Conditioning of Certificate or License.
- (a) The Board shall have the power to impose administrative penalty and/or reprimand; revoke or suspend; refuse to issue, restore or renew; place on probation or condition in any manner the certificate, license, or certificate of authorization to any engineer, architect, land surveyor, landscape architect, real property appraiser, or firm who is found guilty of one or more of the following violations:
 - (1) The practice of any fraud or deceit in obtaining or attempting to obtain or renew the license or the certificate of authorization; or
 - (2) Any negligence, incompetence or misconduct in the practice of engineering, architecture, land surveying, landscape architecture, or real property appraisal; or
 - (3) Conviction of or pleading guilty to a crime of moral turpitude or a crime related to the profession either in the Commonwealth or in another state or jurisdiction. A certified copy of the judgment of the court of such conviction or plea will be considered dispositive for the purpose of any hearing under this part. A plea of nolo contendre or its equivalent accepted by the court shall be considered as a conviction; or
 - (4) Signing, affixing, or permitting the licensee's seal or signature to be affixed to any specifications, reports, drawings, plans, designs, programming, plats, design information, construction documents or calculations, surveys, or revisions thereof, which have not been prepared by the licensee or under the licensee's responsibility or direct personal supervision; or

PUBLIC LAW NO. 14-95 HOUSE BILL NO. 14-289, HS1, SD1

| | ŀ |
|----------------|---|
| 1 | I |
| 2 | I |
| 3 | I |
| 4 | |
| 5 | |
| 6 | İ |
| 7 | l |
| 8 | |
| 9 | |
| 10 | İ |
| 11 | |
| 12 | |
| 13 | l |
| 13 14 15 | l |
| 15 | |
| 16 | I |
| 16 17 | I |
| 18 | İ |
| 19 | I |
| 20 | I |
| 21 | I |
| 22 | I |
| 23 | Ì |
| 24 | |
| 25 | l |
| 26 | |
| 27 | İ |

28

- (5) Engaging in dishonorable, unethical or unprofessional conduct of a character likely to mislead, deceive, defraud or harm the public; or
- (6) Practicing, or offering to practice engineering, architecture, land surveying, landscape architecture, or real property appraisal without a valid license or certificate issued by the Board; or
- (7) Using the title "engineer," "engineering," "architect," "architecture," "architectural," "land surveyor," "land surveying," "landscape architect," "landscape architecture," "real property appraiser," or "real property appraisal," or any title, sign, card or device to indicate that such person is practicing such profession without having first being licensed or certified in accordance with this Chapter; or
- (8) Using or attempts to use as his or her own the seal or the certificate or license of another; or
- (9) Falsely impersonating any duly licensed or certified architect, engineer, land surveyor, landscape architect or real property appraiser; or
- (10) Using or attempts to use an expired, suspended or revoked certificate or license; or
- (11) Aiding or assisting another person in violating any provision of this Chapter, or the rules and regulations pertaining thereto; or
 - (12) Providing false testimony or information to the Board; or
- (13) Failure to provide information requested by the Board as a result of a formal or informal complaint to the Board which would indicate a violation of this Chapter; or
- (14) Failure to comply with any provisions of this Chapter, any regulations pertaining thereto, and the Rules of Professional Conduct for Engineers, Architects, Land Surveyors, and Landscape Architects; or
- (15) Any individual or firm which advertises and offers to engage or engaging in the practice of architecture, engineering, land surveying,

landscape architectural or real property appraisal services without first complying with the requirements of this Act.

- (b) In addition to any other penalty provided in this section, the Board shall have the power to impose administrative penalty and/or reprimand, revoke or suspend; refuse to issue, restore or renew; place on probation or condition the certificate of authorization of any firm where one or more of its agents, officers, directors, partners, managers, or employees have been found guilty of any conduct which would constitute a violation under the provisions of this section.
- (c) Upon conviction in a court of law, any person or firm who violates any of the provisions of this Chapter or the rules and regulations promulgated hereunder, shall be fined not more than \$5,000 or imprisoned not more than one year, or both. § 3219. Disciplinary Action: Procedures.
- (a) Any person, including a board member, may prefer charges in writing with the board against any person subject to the Board's jurisdiction, including but not limited to non-licensees or a firm holding a certificate of authorization. The Board or its designee shall promptly and fully investigate all allegations filed with the Board. The charges, unless dismissed without hearing by the Board as unfounded or trivial, shall be heard and determined by the Board or the Board's authorized representative, consistent with the requirements of the CNMI Administrative Procedure Act, pursuant to 1 CMC§ 9109, et seq.
- (b) Until an investigation is completed and administrative charges are filed against the licensee or firm, or the matter is referred to the Attorney General for criminal prosecution, any and all matters related to the allegation(s) including the name(s) of the party filing such charges, shall be confidential and exempt from disclosure to the public pursuant to applicable law including, but not limited to the Open Government Act of 1992, 1 CMC § 9901 et seq.
- (c) The time and place of said hearing shall be fixed by the Board, and a copy of the charges, together with a notice of the time and place of hearing, shall be personally served on or mailed to the last known address of such individual licensee

or firm at least thirty (30) days before the date fixed for the hearing. At any hearing, the accused person or firm shall have the right to appear in person or by counsel, or both, to make arguments and cross-examine witnesses and to produce evidence and witnesses in their own defense. If the accused person or firm fails or refuses to appear, the Board or the Board's authorized representative may proceed to hear and determine the validity of the charges.

- (d) The members of the Board or the Board's authorized representative presiding at a hearing may:
 - (1) Administer oaths and affirmations;
 - (2) Issue subpoenas to compel the attendance of witnesses and the production of records and documents;
 - (3) Rule on offers of proof and receive relevant evidence;
 - (4) Require and supervise discovery, including taking depositions or have depositions taken;
 - (5) Regulate the course of the hearing, including administer sanctions for conduct within Rule 11 of the CNMI Rules of Civil Procedure.
 - (6) Hold conferences for the settlement or simplification of the issue by consent of the parties;
 - (7) Dispose of procedural requests or similar matters; and
 - (8) Make or recommend orders or decisions in accordance with this Chapter.
 - § 3220. Disciplinary Action.
 - (a) If the accused is found guilty, he/she shall be subject to the following:
 - (1) refusal or denial of license or certificate;
 - (2) suspension;
 - (3) revocation;
 - (4) license or certificate with conditions and/or probation;
 - (5) fine or civil penalty;
 - (6) dismissal of the charges;

(7) other discipline as appropriate and permitted by law.

- (b) The Board shall provide that upon concluding the hearing, findings, decisions and order shall be issue within 30 days.
- (c) Upon failure or refusal to comply with such order of the Board, or upon failure to honor its subpoena, as herein provided, the Board may apply to a court of any jurisdiction to enforce compliance with same.

§ 3221. Corporations and Partnerships (Firms).

- (a) The practice of, or offer to practice engineering, architecture, land surveying, or landscape architecture through a corporation or partnership by individuals licensed under this Act, is permitted, provided that the persons directly in charge of the professional work are duly licensed under this Act; and further that the firm has been issued a certificate of authorization by the Board.
- (b) No firm shall be relieved of responsibility for the conduct or acts of its agents, officers, directors, partners, managers, or employees by reason of its compliance with the provisions of this section. No individual practicing engineering, architecture, land surveying, or landscape architecture under the provisions of this Act shall be relieved of responsibility for engineering, architecture, land surveying, or landscape architecture services performed by reason of employment or other relationship with a firm holding a certificate of authorization.
- (c) All firms must have a valid certificate of authorization before advertising to offer engineering, architecture, land surveying, or landscape architecture services in the CNMI.

§ 3222. Structures Exempted From Provisions of this Chapter.

The provisions of this Chapter, shall not apply to work in respect to any privately owned or privately controlled one-storied building, dwelling or structure, the estimated cost of which does not exceed \$50,000; provided, that such building, dwelling or structure meets all the requirements of the CNMI Building Code and other applicable CNMI Laws."

13

Section 4. <u>Severability</u>. If any provision of this Act or the application of any such provision to any person or circumstance should be held invalid by a court of competent jurisdiction, the remainder of this Act or the application of its provisions to persons or circumstances other than those to which it is held invalid shall not be affected thereby.

Section 5. <u>Savings Clause</u>. This Act and any repealer contained herein shall not be construed as affecting any existing right acquired under contract or acquired under statutes repealed or under any rule, regulation or order adopted under the statutes. Repealers contained in this Act shall not affect any proceeding instituted under or pursuant to prior law. The enactment of the Act shall not have the effect of terminating, or in any way modifying, any liability, civil or criminal, which shall already be in existence on the date this Act becomes effective.

Section 6. <u>Effective Date</u>. This Act shall take effect upon its approval by the Governor or becoming law without such approval.

CERTIFIED BY:

BENIGNO R. FITIAL

SPEAKER OF THE HOUSE

ATTESTED TO BY:

EVELYN C. FLEMING

House Clerk

Approved

on this

day of

2005

JUAN N. BABAU

GOVERNOR

COMMONWEALTH OF THE NORTHERN MARIANA ISLANDS